

## Self-Assessment Questionnaire on Conflicts of Interest for a member of an Individual Monitoring Committee for a PhD student

A situation of interference between various interests that **may influence or appear to influence the independent, impartial, and objective exercise of a function** constitutes a **conflict of interest**. The notion of a link of interest is broader and covers all professional, financial, institutional, family, intellectual, or moral ties that connect two individuals.

Each university has a **ethics officer** (*réfèrent déontologue*). The ethics officer is responsible for providing information and advice regarding compliance with the ethical principles applicable to public service status. These principles are as follows: impartiality, integrity and probity, neutrality, and respect for the principle of secularism.

Everyone must immediately put an end to or anticipate situations of conflicts of interest in which they find themselves or could find themselves, and inform the Doctoral School, which will take the necessary measures, in particular by assigning responsibility to another person or informing the ethics officer.

Any person who becomes aware of a situation of conflict of interest in the context of doctoral training must inform the Doctoral School to which they belong and may also seek advice or report the facts to the ethics officer.

The purpose of this self-assessment questionnaire is to respond to frequently asked questions regarding potential situations of conflicts of interest that may sometimes be encountered by members of Individual Monitoring Committees.

The list of situations considered is not exhaustive and, among the situations listed, exceptions and special cases may be encountered: the ethics officer may be consulted for advice regarding the situations listed in this questionnaire or regarding other situations.

If you are a member of an Individual Monitoring Committee, except in special cases or unless otherwise advised by the ethics officer, you must ask the Doctoral School to replace you in situations marked with a square . It is recommended to consult the Doctoral School in situations marked with a circle .

## Questionnaire

### Professional and hierarchical ties:

- I have or have had professional or hierarchical relationships that were conflictual or deteriorated with the PhD student or with his or her thesis supervisor or a member of the supervisory team, for a member of an Individual Monitoring Committee or an external member of a thesis defense jury,
- I am in a professional relationship that may limit or appear to limit my freedom of judgment and my independence with respect to the PhD student's thesis supervisor or one of the members of the supervisory team.
  - He or she is the guarantor or a member of my HDR jury, a member of a selection committee for a position for which I am a candidate, or for the support of a project that I am defending,
  - He or she is the head of my laboratory, faculty, or department,
  - I myself have been a supervisor in the past, during internships, projects, etc., of the PhD student,
- I am currently a party to a collaborative research project in which the PhD student or his or her thesis supervisor or a member of the supervisory team also participates.
  - Je l'ai été, moins de cinq ans avant le Comité de suivi.

### Intellectual ties:

- I have co-authored publications with the PhD student,
- I have been the thesis supervisor of the PhD student's thesis supervisor or of a member of the supervisory team,
  - I have co-authored publications with his or her thesis supervisor or with a member of the supervisory team (assessment notably in light of differing practices depending on the discipline and the time elapsed since the last co-publication),
  - I have co-organized scientific and scholarly events with the PhD student or with his or her thesis supervisor or with a member of the supervisory team (conferences, symposia, seminars, etc.).

### Personal ties:

- I have or have had personal relationships that were conflictual or deteriorated with the PhD student or with his or her thesis supervisor or a member of the supervisory team,
- I have or have had a close family or kinship relationship with the PhD student or with his or her thesis supervisor or a member of the supervisory team,
- I have already taken publicly stated positions concerning the PhD student or his or her thesis supervisor or a member of the supervisory team,
- I have or have had, in a recent period, intimate and/or emotional relationships with the PhD student or with his or her thesis supervisor or a member of the supervisory team.